

Customer No.	0264	
Attorney's Docket No.:	GK-EIS-1041 / 500593.20033	
U.S. Application No.:	09/830756	
International Application No.:	PCT/EP99/07869	
International Filing Date:	OCTOBER 16, 1999	16 OCTOBER 1999
Priority Date Claimed:	OCTOBER 30, 1998	30 OCTOBER 1998
Title of Invention:	MICROPHONE	
Applicant(s) for (DO/EO/US):	Heinz EPPING, Manfred BLEICHWEHL and Artur SEEHAFFER	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
[ ] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.  
[ ] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and  
[ ] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  
[X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]  
a) x is transmitted herewith (required only if not transmitted by the International Bureau)  
b)    has been transmitted by the international Bureau  
c)    is not required, as the application was filed in the United States Receiving Office (RO/US)  
[X] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]  
[ ] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  
a)    are transmitted herewith (required only if not transmitted by the International Bureau)  
b)    have been transmitted by the International Bureau  
c)    have not been made; however, the time limit for making such amendments has **NOT** expired.  
d)    have not been made and will not be made  
[ ] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]  
[X] 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] Executed Decl/POA TO FOLLOW  
[X] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

**Items 11. to 16. Below concern other document(s) or information included:**

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98  
[ ] 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.  
[X] 13. X A **FIRST** preliminary amendment (Claims 20/1)  
   A **SECOND** or **SUBSEQUENT** preliminary amendment  
[X] 14. A **substitute specification w/Abstract**  
[ ] 15. A change of power of attorney and/or address letter  
[X] 16. (other items or information) **PCT/RO/101, PCT/RO/106, 105 18NOV99, 1<sup>st</sup> page of WO 00/27165 11MAY00, PCT/IPEA/401 29MAY00, PCT/IB/332 28JUL00, PCT/IPEA/405 28SEP00, PCT/IPEA/408 14NOV00, PCT/IPEA/406 18JAN01, Search Report (PCT/ISA/220 and 210) 10APR00 and PTO-1449 w/4 references and including 2 English Abstracts**

EXPRESS MAIL No.: **EL 758 525 037 US**

Deposited: **April 30, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

  
/Ruth Montalvo Date: **April 30, 2001**

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

- ☒ Search Report has been prepared by the EPO or JPO..... \$ 860.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 690.00
- ☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482]  
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 710.00
- ☐ Neither International preliminary examination fee [37 CFR 1.482] nor  
International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,000.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]  
and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$860.00

Claims	Number Filed		Number Extra	Rate
Total Claims (Prel. Amdt)	20	-20		x \$ 18. =
Indep. Claims	1	-03		x \$ 80. =
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+ \$ 270. =

TOTAL OF ABOVE CALCULATIONS:

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the  
earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$860.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL:

\$860.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

\$860.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied  
by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

TOTAL FEE(S):

\$860.00

AMOUNTS TO BE  
REFUNDED OR CHARGED

REFUNDED  
CHARGED

\$  
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

☒ Check in the amount of \$ 860.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge  
any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)  
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Gerald H. Kiel  
Name (Tel. (212) 521-5400)

Signature

25,116  
Reg. No.

April 30, 2001  
Date